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Central Institute of Buddhist Studies
(Deemed to be University)
Ministry of Culture, Govt of India
Leh-194104, UT of Ladakh




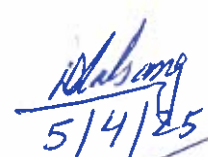

केन्द्रीय बौद्ध विद्या संस्थान
(सम विश्वविद्यालय)

CIBS Regulations
for the Doctor of Philosophy-2024
based on UGC NOTIFICATION dated the 7th of November
2022 known as (Minimum Standards and Procedures for
Award of Ph.D. Degree) Regulations-2022

विद्यावारिधि उपाधि हेतु अधिनियम-2024

Table of Contents

No.	Titles	Page No.
I.	Short title, Application, and Commencement	1
II.	Definitions	1
III.	Eligibility criteria for admission to the Ph.D. Programme	3
IV.	Duration of the PhD Programme	4
V.	Notification for Admission to the Ph.D. Programme	5
VI.	Methods of Admission	5
VII.	Institutional Research Entrance Test (IRET) to Ph.D. Programme	6
VIII.	Direct Entry to the PhD Programme	8
IX.	Procedure for Registration to PhD Programme	9
X.	Classification of the Candidates	10
XI.	Allocation of Research Supervisor	11
XII.	Obligations of the Supervisor and the Co-Supervisor (s)	13
XIII.	Request for change of the Supervisor	14
XIV.	Course Work for the PhD Programme	15
XV.	Modification of Research Topic	16
XVI.	Submission of the Thesis	17
XVII.	An Overview of Academic Calendar of the Doctor of Philosophy Programme	17
XVIII.	Extension in the period required for the submission of the thesis	18
XIX.	Evaluation and Assessment Methods, Minimum standards/credits for award of the degree, etc.	19
XX.	Examiner's Report	20
XXI.	Viva-Voce Test	21
XXII.	Award of the Degree and Issuing a Provisional Certificate	22
XXIII.	Award of Ph.D. degree prior to Notification of these Regulations	23
XXIV.	Cancellation of Admission	23
XXV.	Temporary Withdrawal from the Programme	23
XXVI.	Fee Structure for Ph.D	24
XXVII.	Financial Assistance	24
XXVIII.	Residency Period	25
XXIX.	Attendance and Leave	25
XXX.	Research Boards/Committees/ Councils	
a.	Board of Research Studies of CIBS (BRS)	26
b.	Research Advisory Committee and its Functions (RAC)	26

c. The Departmental Research Council (DRC)	27
d. Viva Voce Test Committee (VVTC)	28
e. Equivalence Committee (EC)	28
XXXI. De-specification of M.Phil. Degree	28
XXXII. Depository with INFLIBNET/Institutional Electronic Archive	28
XXXIII. Code and Conduct of Ethics	28
XXXIV. Conclusion	30

05/04/25

5-4-25

06/04/2025

05/4/25

05/04/2025

The Central Institute of Buddhist Studies, Choglamsar, Leh, UT of Ladakh hereby makes the following Regulations based on the UNIVERSITY GRANTS COMMISSION NOTIFICATION dated the 7th of November 2022 (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations-2022, namely as:

I. Short title, Application, and Commencement:

1. These Regulations may be called *CIBS Regulations for the Doctor of Philosophy Programme-2024* which is based on the UGC NOTIFICATION dated the 7th of November 2022 known as (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations-2022.
2. They shall come into force from the date the Board of Management of the Central Institute of Buddhist Studies [henceforth, CIBS] (Deemed to be University), Choglamsar, Leh, UT of Ladakh approves them.
3. The conduction of Ph.D. programmes, shall be initiated for awarding the Degrees of Doctor of Philosophy in any subject taught in which qualified Supervisors are available in CIBS.
4. The subjects qualified for conducting Ph.D. programmes at CIBS are: *Bhot Bauddha Darshan, Sanskrit Bauddha Darshan, Comparative Philosophy, Bauddh Puranic History, Bhoti Literature and Sanskrit Literature* in addition to inter-disciplinary/ multi-disciplinary topics. However, the thesis of the Ph.D. ought to cover the areas of *Buddhist Philosophy & Logic, Buddhist History & Culture, Buddhist Economy and Trade, Bhoti Literature, Folk Literature of Ladakh, and Ladakh History & Culture and any other area which is related to Buddhism.*
All the regular teachers of CIBS will be eligible to supervise PhD Scholars irrespective of their subjects concerned as per **Clause XI** of these regulations.
5. The Degree of Doctor of Philosophy (Ph.D.) shall be awarded to a student on the basis of an original research work incorporated in a thesis recommended by the Research Advisory Committee /Board of Examiners after his/her successful defending in a *Viva Voce* Examination.
6. The thesis shall demonstrate that the student is capable of doing scholarly work. The results of research embodied in the thesis shall be a contribution to the existing knowledge either by the revelation of new facts or theories or the discovery of some new relations between areas of study already known or the critical survey of events leading to some new interpretation or the development of new techniques.
7. A candidate already possessing a Ph.D. degree from CIBS, Leh or any other HEI shall be eligible to be admitted to the Ph.D. programme for an additional Ph.D. degree in a subject other than the subject in which he/she already possesses the Ph.D. degree. The admission of such a candidate will be at the discretion of the Vice Chancellor of CIBS, who, on the basis of specific recommendation and full justification by the Research Advisory Committee of the Department concerned, after considering certain relevant criteria such as, the synopsis of the proposed topic, relevance of the proposed topic and its relationship with the topic of his/her first Ph.D. etc., will take a final decision.

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8. The Ph.D. Programme shall be held only in Regular Mode/Part-Time Mode. There shall be no Ph.D. Programme through Distance Mode/ Online Mode.

II. Definitions:

In these Regulations, unless the context otherwise requires:

1. "Act" means the University Grants Commission Act, 1956 (3 of 1956);
2. "Adjunct Faculty" means a part-time or contingent instructor, but not full-time faculty member hired to teach by CIBS;
3. "BRS" means Board of Research Studies of CIBS.
4. "CIBS" means the Central Institute of Buddhist Studies, Choglamsar, Leh, UT of Ladakh.
5. "CGPA (Cumulative Grade Point Average)" means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
6. "Commission" means the University Grants Commission established under Section 4 of the UGC Act, 1956;
7. "Course" means one of the specified units which comprises a programme of study;
8. "Course Work" means courses of study prescribed by the School/Department/ Centre in CIBS to be undertaken by a student registered for the Ph.D. Degree;
9. "Credit" means the number of hours of instruction required per week over the duration of a semester. For example, a three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit;
10. "Degree" means a degree awarded by CIBS or any other Higher Educational Institution in accordance with the provisions of section 22 (3) of the Act;
11. "External Examiner" means an academician/researcher with published research work who is not part of CIBS where the Ph.D. scholar has registered for the Ph.D. programme;
13. "Foreign Educational Institution" means:
 - (a) an institution duly established or incorporated and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and
 - (b) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode;
14. "Grade Point" means a numerical weight allotted to each letter grade on a 10-point scale;
15. "Guide/Research Supervisor" means an academician/researcher recognized by CIBS to supervise the Ph.D. scholar for his/her research;
16. "Higher Educational Institution" means a university, a deemed to be university or an institution specified under Clause 2 of Regulation 1 of UGC Regulations-2022;
17. "Interdisciplinary Research" means research conducted by a Ph.D. scholar in two or more academic disciplines;
18. "IPR Cell" means Intellectual Property Right Cell of CIBS.
19. "Institute" means the Central Institute of Buddhist Studies (Deemed to be University);

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20. "Open and Distance Learning (ODL) Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations-2020;
21. "Online Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations-2020;
22. "Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own;
23. "Programme" means a higher education programme pursued for a degree specified by the Commission under sub-section (3) of section 22 of the Act;
24. "Prospectus" means any document, whether in print or otherwise, issued for providing fair and transparent information relating to the Institute and its programmes, and to the general public (including to those seeking admission in the Institute) by CIBS;
25. "Research Advisory Committee (RAC)" means the RAC for each subject in the Department concerned in CIBS;
26. "Research Proposal" means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme;
27. "University" means the Central Institute of Buddhist Studies (Deemed to be University) established under Section 3 of the UGC Act, 1956;
28. "VVB" means *Viva Voce* Board for conducting Viva Voce Examination of a Ph.D. scholar in the Department concerned in CIBS;
29. Words and expressions used and not defined in these Regulations, but defined in the UGC Act, 1956 and not consistent with these Regulations shall have the meanings assigned to them in that Act.

III. Eligibility criteria for admission to the Ph.D. Programme:

Subject to the conditions stipulated in these Regulations, the following candidates are eligible to seek admission to the Ph.D. programme in CIBS:

1. A candidate seeking admission to the PhD programme must have completed:
 - a. A 4-year/8-semester Bachelor's Degree Programme having Honours with Research and a minimum of 75% marks in aggregate or an equivalent CGPA on a point scale wherever the grading system is followed *or*
 - b. A 1-year/ 2-semester Master's Degree Programme with a minimum of 55% marks in aggregate or an equivalent CGPA on a point scale wherever the grading system is followed *or*
 - c. A 2-year/4-semester Master's Degree Programme after a 3-year Bachelor's Degree Programme or qualifications declared equivalent to the Master's Degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or an equivalent CGPA on a point scale wherever grading system is followed *or*
 - d. Obtained equivalent qualification as stated above from a foreign educational institution accredited by an assessment and accreditation agency which is approved,

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recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

2. Candidates who have completed the M.Phil. programme prior to the Academic Session 2022-2023 with at least 55% marks in aggregate or its equivalent CGPA on a point scale wherever grading system is followed or have obtained equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure the quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme.

3. A relaxation of 5% marks or its equivalent 0.5 grades may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/ Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

Note: *The eligibility marks of 55% (or an equivalent CGPA on a point scale wherever grading system is followed) and the relaxation of 5% or 0.5 CGPA to the categories mentioned above are permissible based only on the qualifying marks without including the grace marks, if any.*

4. Candidates who have qualified Research Entrance Test (RET) conducted by CIBS or National Eligibility Test (NET: all Categories) conducted by the UGC or State Level Eligibility Test (SLET) accredited by UGC, Central or State Government are eligible for registration into PhD Programme.

NB: The validity of NET [Category II and Category III vide Public Notice No.F.4-1 (UGC-NET Review Committee)/2024 (NET)/140648 dated March 27, 2024], SLET and RET is acceptable only for one year from the date of publication of their result.

5. All Full-Time Teachers of CIBS after completion of their Probation Period are eligible for registration to the Ph.D. Programme.

6. Foreign students sponsored by the Government of India agencies like ICCR, Ministry of Education etc., through Nehru Fulbright Scholarship and similar fellowships etc., or sponsored by their respective Governments/Embassies are also eligible for registration to the Ph.D. Programme.

7. Non-Resident Indians (NRIs)/Persons of Indian Origin (PIOs)/ Foreign Students interested to carry on their Ph.D. Programme at CIBS through self-financing mode are also eligible, provided that they possess the minimum qualifications stated above and obtain **No Objection Certificate** from the Govt of India through the respective Indian Embassies/ Consulates/ High Commissions operational in their countries.

IV. Duration of the PhD Programme:

1. Ph.D. Programme shall be for a minimum duration of three (3) years, including Course Work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.

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2. A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of CIBS; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission to the Ph.D. programme.

3. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years. However, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission to the Ph.D. programme.

V. Notification for Admission to the Ph.D. Programme:

1. The admission shall be based on the criteria notified by CIBS, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/ regulatory bodies concerned, and taking into account the reservation policy of the Central Government/ Government of UT of Ladakh from time to time.

2. Any circular/ notification/ communication provided by the UGC/ Ministry of Education, Govt. of India regarding the conduct of a Common Entrance Test for this purpose shall be applicable and binding on CIBS from time to time.

3. CIBS will make an announcement/ publish an advertisement for the admission to the Ph.D. Programme at the beginning of each Academic Session through the decisions taken by the Research Advisory Committees of its various departments based on the number of research supervisors available and other academic, research as well as physical facilities available, such as the scholar-teacher ratio (as indicated in **Clause XI.5**), laboratory, library, hostel accommodation etc.

4. Admission to the Ph.D. Programme shall be given to all the eligible candidates as per **Clause III** mentioned above, subject to the availability of seats.

5. Admissions of Non-Resident Indians (NRIs)/ Persons of Indian Origin (PIOs)/ Foreign Students to Ph.D. Programme in CIBS shall be governed by the Guidelines/ Regulations framed by UGC from time to time, provided that they are eligible as per **Clause III.7** mentioned above.

6. CIBS shall notify well in advance on the institutional website, mentioning the number of seats for admission, subject/ discipline-wise distribution of available seats, criteria for admission, procedure for admission, Examination Centre(s) where Research Entrance Test shall be conducted and all other relevant information for the benefit of the candidates.

7. Advertisement shall be made as per the Ph.D. academic Calendar displayed on the website. Application shall be submitted within a month. Final submission of Ph.D. thesis by a candidate shall be counted as the date of vacation of seat under the research supervisor concerned.

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VI. Methods of Admission:

Admission to the Ph.D. programme shall be made using the following methods:

1. CIBS may directly admit students to the Ph.D. Programme who qualify for fellowship/scholarship in UGC-NET/UGC- CSIR NET/GATE/CEED/SLET and similar National level tests based on an interview *or*

2. CIBS may admit students through Research Entrance Test conducted by itself. Students who have secured 50 % marks in the RET are eligible to be called for the interview.

3. A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC (Non-Creamy Layer) /Differently-Able Category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time. Despite the above relaxation, if the seats allotted to the above categories remain unfilled, CIBS shall launch a Special Admission Drive, for that particular category within one month from the date of closure of admissions. After the period of Special Drive expires, the seats may be allotted to students of General Category. CIBS shall devise its own admission procedure, along with eligibility conditions to ensure that most of the seats under these categories are filled.

4. Foreign students who are desirous of doing Ph.D. through self-financing mode also have to take RET conducted by CIBS and secure minimum 50% marks to be eligible for admission to the doctoral programme.

5. CIBS may decide the number of eligible candidates to be called for an interview based on the merit list prepared after RET, taking into account other candidates qualified for direct entry (*Clause VIII*) and the number of Ph.D. seats available.

6. For the selection of candidates based on the UGC-NET/UGC-CSIR NET/GATE/CEED/SLET/ and RET conducted by CIBS, a weightage of 70 % for the Entrance Test and 30 % for the performance in the interview shall be given.


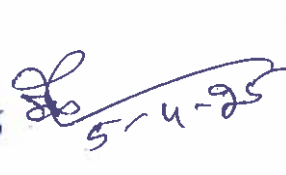
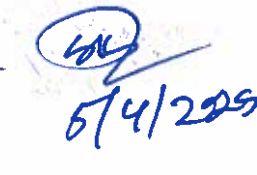
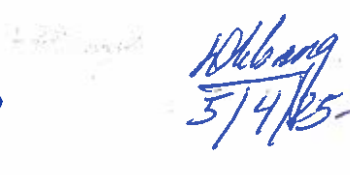
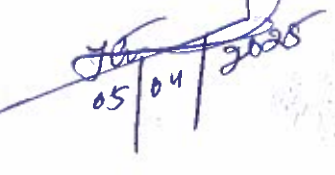
7. Sixty percent (60%) of the total vacant seats available at the time of advertisement shall be filled from among NET/JRF qualified students and the remaining forty percent (40%) from among RET qualified students conducted by CIBS. However, candidates from other categories can be requisitioned to fill up the vacant slots in either category, if remain, in the order of merit, following the reservation norms.

VII. Research Entrance Test (RET) to Ph.D. Programme:

1. Research Entrance Test (RET) shall be conducted for those subjects/allied subjects in which NET/ SLET or any other national level test is not available. A candidate possessing the minimum qualifications with the requisite percentage of marks/ grade as prescribed in *Clause III.1* of these Regulations shall be eligible to appear in the RET.

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2. The eligible candidates willing to appear in the RET to Ph.D. Programme shall download the Application Form from the website of CIBS and duly filling, submit the same with the requisite fees to the office of the Controller of Examination of CIBS on or before the last date announced.
3. There shall be a common **Research Entrance Test** for all the Departments conducted by the Examination Department of CIBS to be held normally in the month of May every academic year, the results of which shall be declared by the first week of June.
4. The RET shall be conducted at the Centre(s) notified in the Advertisement. Candidates are advised to mention their Centre in the Application Form. If any candidate wants to change the Center already opted, he/she has to inform the Controller of Examinations, CIBS ten days prior to the commencement of the Test.
5. The syllabus of the RET shall consist of 50% of research methodology (Section 'A' and 'B') and 50% of subject specific (Section 'C'). The Test shall be of three (3) hours duration, consisting of 100 Multiple-Choice Questions (MCQs).
6. **Section 'A'** will consist of 20 MCQs of general nature to test the research aptitude such as logical and analytical thinking, quantitative ability, language skills, computer awareness, general knowledge etc., of the candidates. **Section 'B'** will consist of 30 MCQs to test the knowledge of research methodology. These questions shall be mandatory for all the candidates taking the Test in all the disciplines and shall be based on the subjects taught at undergraduate level (4 year/8 semester Bachelor's Degree) or at Post Graduation Level (2 year/ 4 semester Course).
- Section 'C'** shall consist of the remainder 50 MCQs which shall be of specialized nature and discipline specific. The candidate shall be required to answer only one such set of 50 questions corresponding to the subject of his/her qualifying degree or to the subject of Ph.D. in which he/she wants to get admission. These questions shall be of post-graduate level in the subject concerned.
7. Three (3) marks shall be awarded for each correct answer. The questions not attempted shall be awarded zero marks. However, there shall not be any negative marking.
8. No scrutiny/ re-evaluation of the answer books of the written test shall be allowed.
9. The minimum qualifying marks will be separately taken into account as follows: for General Category candidates -50% aggregate marks and for Reserved Category candidates -45% aggregate marks in both written examination and viva voce.
10. For qualifying in the RET, a candidate shall have to secure a minimum of 150 Marks in General Category and 135 Marks in the Reserved Category. However, CIBS reserves the right to modify the minimum qualifying marks depending on the requirements/ circumstances.
11. If a candidate is an applicant and also eligible for admission to Ph.D. Programme in a Department different from the one in which he/she has obtained the qualifying degree, he/she shall appear in the RET conducted by CIBS, Leh and shall attempt the questions corresponding to the subject in which he/she wishes to do Ph.D. In case of any difficulty

the RAC will consider the case. The decision of RAC in this regard would be communicated to the Vice Chancellor whose decision would be final. However, such decision(s) shall not become a precedence to be followed.

NB: If the candidate has qualified for UGC-NET in an allied subject, he/she can apply for Ph.D. programme in a subject that has no NET and he/she need not take the RET conducted by CIBS. The decision of the Equivalence Committee of CIBS shall be final and binding in this matter.

12. The Examination Department of CIBS shall prepare the merit list of the candidates who have qualified in all the required components of RET and in other required aspects as mentioned in these regulations for the admission to the Ph.D. Programme. The result of RET will be valid for one year from the date of declaration of the result.

13. The Examination Department of CIBS shall also prepare a separate merit list of the candidates who are eligible through tests such as UGC-NET/ UGC- CSIR NET/ GATE/ CEED/SLET.

14. The applicants who are found eligible at ***Clause VII. 12 & 13*** above shall have to appear before the Interview Board. The Examination Department of CIBS shall issue notification in this regard and conduct the same.

15. The candidates are required to discuss their research interest/area through a presentation before the duly constituted Interview Board which shall also consider the following aspects, whether:

- a. The candidate possesses the competence for the proposed research.
- b. The research work can be suitably undertaken at CIBS.
- c. The research topic is relevant and contributory to the society or the nation.

16. After the conduct of the interview, the Examination Department of CIBS shall prepare the merit list of the qualified candidates on the basis of the total marks obtained by them both in the Written Test and Interview. The selection shall be evaluated in the ratio 70 (Written Test : UGC-NET/ UGC- CSIR NET/ GATE/ CEED/SLET/ RET): 30 (Interview).

17. The records of the merit list of such qualified candidates and those eligible for direct admission along with their application forms shall be maintained in the Examination Department of the CIBS, Leh for future reference.

18. The Examination Department shall publish the merit list of such qualified scholars on the website of CIBS.

VIII. Direct Entry to the Ph.D. Programme:

1. "Direct Entry to the Ph.D. Programme" means candidates under this category are exempted from taking any kind of Test. However, they have to give a presentation before the Interview Board on the area of their interest for doing research as per ***Clause VII.15*** mentioned above.
2. Candidates who qualify for Direct Entry to the Ph.D. Programme are:

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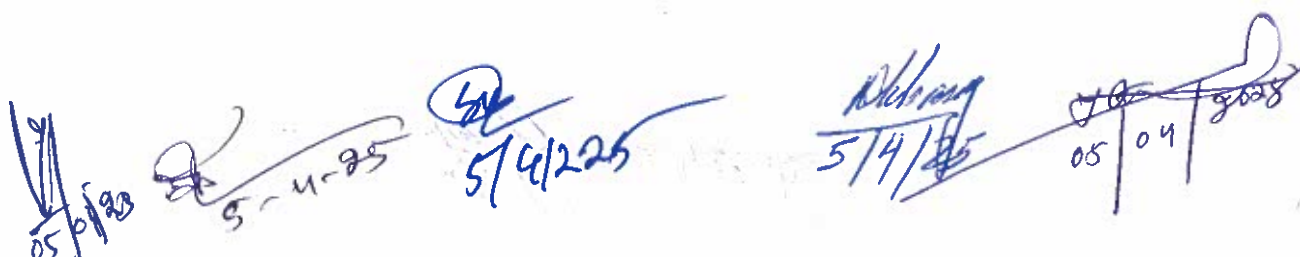
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- a. All Full-Time Teachers of CIBS after the completion of Probation period.
 - b. Foreign students mentioned at **Clause III.6** above.
 - c. Candidates who have cleared Tests such as UGC-NET/ UGC- CSIR NET/ GATE/ CEED/SLET.
3. To maintain the ratio of 70 (Written Test): 30 (Interview) in the case of Direct Entry to the Ph.D. Programme, the following method shall be adopted in place of Written Test:
- a. Experience Certificate mentioning the teaching ability, depth of subject knowledge, institutional involvement, conduct etc., of the Full-Time Teacher of CIBS obtained from the Competent Authority shall be taken into account.
 - b. The Marks Sheet / Result of any Test for Fellowship/ Recommendation Letter of Govt Agencies mentioned at **Clause III.6** above shall be considered.
 - c. The result of Tests such as UGC-NET/ UGC- CSIR NET/ GATE/ CEED/SLET shall be accepted as the Written Test.

IX. Procedure for Registration to Ph.D. Programme:

1. The Examination Department shall recommend the names of selected candidates to each Department of CIBS, according to the merit list of successful candidates prepared on the basis of the result of **Research Entrance Test**, depending on the number of total seats available in the department concerned.
2. After notification of the merit list on CIBS website, the Examination Department shall send a letter for admission to each selected candidate and a copy of the same would be forwarded to the Head of the Department concerned.
3. Within 40 days of the notification of the merit list on CIBS website, each candidate shall have to complete all the official formalities pertaining to the registration such as submission of the duly filled in prescribed Form for Registration to the Ph.D. Programme (Form available on the website), depositing the prescribed fees.
4. After the payment of fees, the candidate concerned shall submit a written request to the Head of the Department concerned in which he/she seeks a Doctoral Degree, indicating the name(s) of the proposed Supervisor/Co-Supervisor(s), as the case may be. An External Part-Time Scholar [as defined in **Clause X 3(a & b)**] is required to submit the consent of the proposed Supervisor/Co-Supervisor along with the above Registration Form.
5. Keeping the candidate's choice in view, the Research Advisory Committee of the Department concerned shall assign a Supervisor/Co-Supervisor, if any, and allot a topic of research to the candidate.
6. The Head of the Department concerned shall send a complete list of such approved candidates along with the necessary details, such as names of the Supervisor(s)/Co-Supervisor(s), if any, along with the topic(s) of research, to the Controller of Examinations of CIBS with a copy to the Dean of the Faculty concerned within fifteen days of the receipt of the written requests of the candidates.


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7. On the receipt of the complete list of such candidates along with the necessary details from the Department(s) concerned, the Examination Department of CIBS will issue the registration letter to each candidate.

8 If some vacancies arise in a Department due to the non-registration of any selected candidate during the same Academic Session, the candidates in the descending order of the merit list may be offered admission.

9. The University shall display the list of all the registered Ph.D. scholars on its website on a year-wise basis. The list shall include the name of the registered candidate, the topic of his/her research, the name of his/her Supervisor/ Co-Supervisor(s) and the date of his/her enrolment/registration.

10. All the foreign students will have to fulfill all the requirements regarding eligibility and will also have to pay the requisite registration fees. It will be their responsibility to select a Supervisor from among the faculty members of the Central Institute of Buddhist Studies, Leh and forward the approval of the Supervisor on the Registration Form for doing their Ph.D. Course Work.

X. Classification of the Research Scholars:

A candidate admitted to the Ph.D. programme in a Department shall be classified under any one of the following categories:

1. Research Scholar in Regular Mode: A candidate who has been admitted to the Ph.D. Programme through *Clauses VII & VIII* of these Regulations would be referred to as a Research Scholar in Regular Mode.

2. Internal Part-Time Research Scholar:

a. A candidate who is a permanent teacher of CIBS and has been admitted directly to the Ph.D. Programme shall be referred to as an Internal Part-Time Research Scholar.

b. A candidate who is a non-teaching permanent employee of CIBS with more than five years of service and has been admitted directly to the Ph.D. Programme shall be referred to as an Internal Part-Time Research Scholar.

3. External Part-Time Research Scholar:

a. A candidate who is a permanent teacher or an employee of other HEIs or of any other institution (where the Ph.D. Degree is a requirement for his/her promotion) with more than five years of service shall be referred to as an External Part-Time Research Scholar, provided that he/she has fulfilled all the criteria stated above through an Entrance Test and Interview conducted thereby and has been admitted to the Ph.D. Programme at CIBS.

b. A candidate shall be treated as an External Part-Time Research Scholar, if he/she joins a regular government job after his/her registration as a Research Scholar in Regular Mode, provided he/she has completed the mandatory Course Work for Ph.D. degree.

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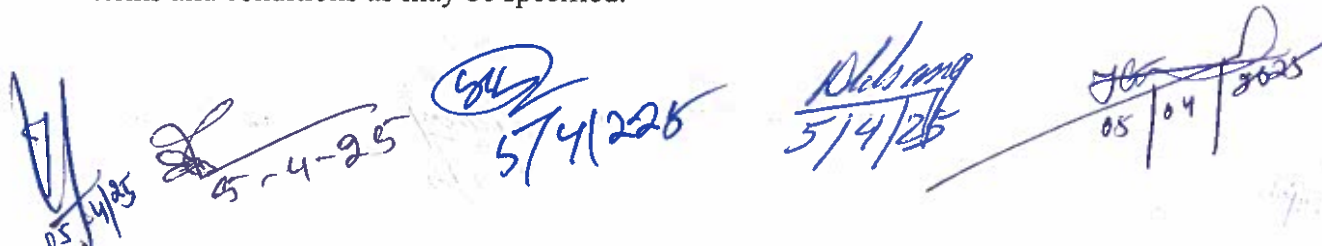
4. At the time of Registration for a Part-Time Ph.D. Programme at CIBS, all the Part-Time Research Scholars (both Internal & External) must submit a “**No Objection Certificate**” issued by the Competent Authority of CIBS/in the organization where he/she is employed, clearly stating that:

- i. The candidate is permitted to pursue the Ph.D. Programme on a part-time basis.
- ii. His/Her official duties permit him/her to devote sufficient time for research.
- iii. He/She will be relieved from the duty for the period required to complete the Course Work at CIBS.

XI. Allocation of Research Supervisor:

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per Supervisor etc.

1. Any regular Professor/ Associate Professor of CIBS with at least five research publications in peer-reviewed or refereed journals after obtaining Ph.D., and any regular Assistant Professor of CIBS with a Ph.D. degree and at least three research publications in peer-reviewed or refereed journals may be recognized as Research Supervisor. Such recognized Research Supervisors cannot supervise research scholars in other institutions, where they can only act as Co-Supervisors. Provided that in areas/disciplines where there is no or only a limited number of refereed journals, CIBS may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
2. Only a full-time regular teacher of CIBS can act as a Supervisor. Co-Supervisors from within the same department or other departments of CIBS or other institutions may be permitted with the approval of the competent authority. The Adjunct Faculty members shall not act as Research Supervisors and can only act as Co-Supervisors. However, a Co-Supervisor can be allowed in inter-disciplinary/ multi-disciplinary areas from other departments of CIBS and from other institutions with the approval of Research Advisory Committee (RAC) of the Department concerned. In specific cases of a formal institutional collaboration based on the MoUs, CIBS may approve a faculty member from the collaborating institution as a Co-Supervisor for a Ph.D. scholar.
3. The allocation of Research Supervisor for a selected research scholar shall be decided by the RAC of the Department concerned depending on the number of scholars allowed per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview.
4. In case of topics which are of inter-disciplinary/multi-disciplinary nature, where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the RAC of the Department concerned shall appoint a Research Supervisor from the Department itself and a Co-Supervisor from outside the Department on such terms and conditions as may be specified.


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5. A Research Supervisor/Co-Supervisor who is a Professor, at any given point of time, cannot guide more than **Eight (8)** Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of **Six (6)** Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of **Four (4)** Ph.D. scholars. The number of candidates in the case of a Co-Supervisor will be counted half for each Ph.D. scholar being co-supervised, i.e., if someone acts as Co-Supervisor for two Ph.D. scholars, it will be considered as one for all practical purposes in the case of allocation on his/her part.

6. a. One additional Research Scholar can be allotted to each Supervisor over and above the allotted number provided the Research Supervisor is implementing a major sponsored research project.

b. A Supervisor/Co-Supervisor is allowed to supervise one more candidate enrolled or registered under Rajiv Gandhi National Fellowship Scheme for SC/ST candidates, as per the guidelines of the UGC.

c. Further, each Research Supervisor/Co-Supervisor can guide two international students on a supernumerary basis.

Note: The supernumerary quota for foreign nationals shall be restricted to a maximum of 15% of the total available seats in a Department.

Explanation: If a Department has three (3) qualified Assistant Professors to be Research Supervisors, they can be allotted **Twelve (12)** PhD Scholars. In that case, only **Two (2)** foreign nationals can be registered as Ph.D. scholars in that Department.

7. At any point of time the total number of Scholars under a Research Supervisor shall not exceed the number as prescribed above including the Scholars under co-supervision.

Note: The Research Supervisor/ Co-Supervisor should declare the number of Ph.D. scholars registered with him/her periodically to CIBS. He/she cannot increase the number by using recognition from multiple universities.

8. In case of relocation of Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University/ HEI to which the scholar intends to relocate, provided all the other conditions in these regulations are followed in letter and spirit and she is not funded by CIBS/any other funding agency. The scholar will, however, give due credit to CIBS and the parent Supervisor for the part of research already undertaken.

9. Faculty members of CIBS with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until their superannuation. If they are re-appointed in CIBS as contractual or honorary or distinguished or Professor Emeritus after their superannuation, they may continue as Co-Supervisors till the age of 70. The University, after considering the research track record

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and fitness of such superannuated teachers to supervise scholars, may decide on his/her continuation as Research Supervisor without financial commitment.

10. At the time of registration of the candidate, the Heads of the Departments of CIBS shall ensure that they recommend candidates within the upper limit, indicated as above, for approval by the RAC. A certificate in this regard shall be given by the Supervisor/Co-Supervisor.

11. The upper limit regarding the number of Ph.D. candidates who can be enrolled or registered for Ph.D. degree with a Supervisor/Co-Supervisor be relaxed for those candidates who are enrolled or registered under Rajiv Gandhi National Fellowship Scheme for SC/ST candidates, as per the guidelines of the UGC.

12. No relative of the candidate such as wife, husband, son, daughter, sister, brother, wife's or husband's brother and sister, brother's son and daughter, sister's son and daughter, first cousin, grand-son, grand-daughter, or such other person as might be deemed to be a close relation by the Vice Chancellor shall not be appointed as Supervisor/Co-Supervisor. The Supervisor/Co-supervisor shall submit a certificate to this effect at the time of Registration, which would be finally decided by the Vice Chancellor.

XII. Obligations of the Supervisor and the Co-Supervisor (s):

1. After being appointed as a Supervisor, it is his/ her responsibility to ensure that all formalities described in these Regulations for Doctor of Philosophy are fulfilled. He/She is also expected to guide the Ph.D. scholars in other matters related to teaching skills and his career.

2. The Supervisor's responsibilities include information and advice to scholars on all aspects related to the Ph.D. programme, while focusing on guidance on academic aspects of research activities. This may include regular meetings with a scholar (typically once a week unless restricted due to reasons otherwise).

3. The Supervisor should ensure relevant and adequate advice on important aspects such as involvement of additional supervisors that deemed appropriate, the feasibility of submission of the proposed Ph.D. project within the given time frame, potential of the Ph.D. thesis to lead to new results of significantly high standard of an international level. It is envisaged that an ideal Ph.D. programme necessitates a good working relationship between the student and the Supervisor from the beginning of the Ph.D. programme and they have reached a mutual agreement on the demands and expectations from each other.

4. The Supervisor should also:

a. Discuss ideas to see if they are good for the research project;

b. Guide the scholar about the choice of relevant courses and conferences related to the field;

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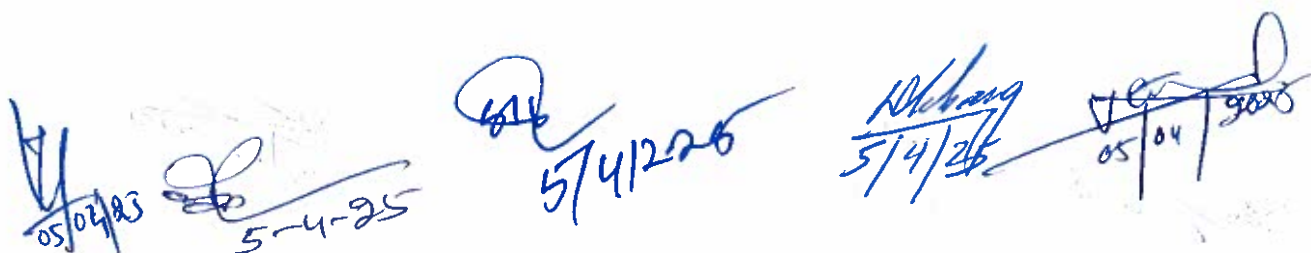
- c. Advise the scholar for contacting relevant national and international organizations in the area of research;
- d. Assist the scholar on incorporation of knowledge dissemination element in the course of study;
- e. Regularly review and give feedback on the Ph.D. project;
- f. Approve the final statement summarizing the overall conduction of the Ph.D. programme at the time of Ph.D. thesis submission;
- g. Actively participate in the assessment and Ph.D. defence, an overall PhD research plan of the mutual demands and expectations during the supervision process, which includes the contours and extent of supervision.

5. The tasks of Co-Supervisor(s), if any, normally include the academic discussions in the relevant parts of the research project. It is also expected that he/she gives suggestions in the matters mentioned above at **Clause XII.4** that are meant for the Supervisor.

XIII. Request for change of the Supervisor:

1. Normally the change of Supervisor shall be allowed during the first two years from the Date of Registration to the Ph.D. Programme. In case a candidate wishes to change the Supervisor within these two years, he/she will have to submit an application to the Head of the Department concerned, giving reasons thereof for such a change. The final decision in this regard would be taken by the Vice Chancellor on the recommendation of the BRS of CIBS.
2. Request for change of the Supervisor could be entertained, if only:
 - a. The Supervisor has left the service of CIBS.
 - b. The Supervisor gets superannuated.
 - c. The Supervisor untimely passes away.
 - d. The Supervisor is terminated from the service.
 - e. The Supervisor is suspended from service for more than three months.
 - f. The Supervisor is on long leave for more than two (2) years.
3. If the Supervisor of a candidate is under suspension, he/she shall cease to be the Supervisor during the entire period of his/her suspension.
4. In case the Supervisor of a candidate proceeds on long leave for a period of more than two years, he/she shall cease to be the Supervisor. Further in case, the Supervisor of a candidate proceeds on leave for a period of less than two years and later extends his/her leave for more than two years, he/she shall cease to be the Supervisor of the candidate already registered under him/her.

However, if the candidate has submitted the Abstract of the Thesis or completed the Thesis before the Supervisor proceeds on leave, the existing Supervisor shall continue to be the Supervisor.


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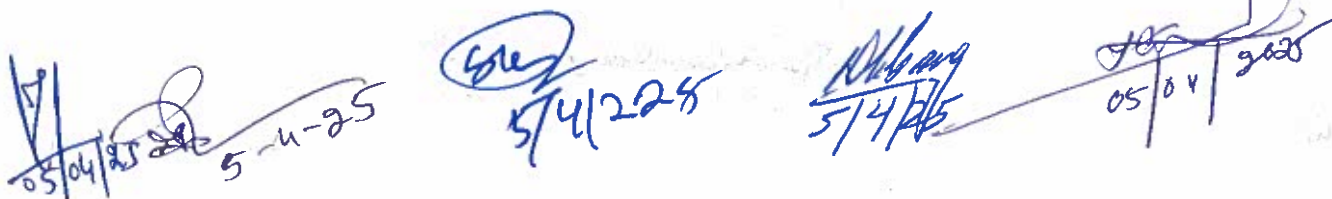
5. In case the Supervisor of a candidate proceeds on leave for a period of less than two years, he/she shall be allowed to be the Supervisor, provided a Co-Supervisor is appointed to supervise the work of the candidate.
6. In case of extreme hardship, where it becomes almost impossible for a candidate to work with the existing Supervisor and where the Vice Chancellor has satisfied himself, the matter may be placed before Research Advisory Committee of the Department concerned. Here the Supervisor may be changed by mutual consent of both the Supervisor and the candidate.
7. In all the cases above, where the existing Supervisor of a candidate ceases to be the Supervisor, the RAC of the Department concerned shall appoint the Co-Supervisor, if any, as the Supervisor of the candidate, provided the Co-Supervisor is from the same discipline. If there is no Co-Supervisor for the concerned candidate or if the Co-Supervisor is from a different discipline, RAC of the Department concerned shall appoint a new Supervisor.

XIV. Course Work for the PhD Programme:

1. The Course Work shall be treated as a pre-requisite for Ph.D preparation. It is mandatory for all Ph.D. entrants, whether they have gone through research methodology or not. It is mandatory for scholars, as methodology is subject-specific and needs the approval of the Supervisor concerned. As a matter of fact, different methodologies such as MLA, Chicago Manuals, Harvard Style, APA Referencing Style etc., are available and in force these days. Hence, a Research Scholar has to undergo it, even if he/she has previously done it during his 4-year undergraduate course/ 2-year master's degree / M.Phil. Course.
2. The Credit Requirement for the Ph.D. Course Work is a minimum of 12 Credits, including a "Research and Publication Ethics" course as notified by UGC vide D.O. No. F.1- 1/2018 (Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee of the Department concerned can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
3. A candidate seeking admission to the Ph.D. Course Work will have to take written approval of the Supervisor on the Registration Form for doing Ph.D. Course Work.
4. The Course Work towards Ph.D. shall comprise of four papers of one semester duration. In case of pandemic like situation, provision of blended mode should be made available. The Department concerned shall prepare the structure and contents of the Ph.D. Course Work which will be approved by the Board of Research Studies of CIBS before implementation. Each of these courses shall be of post-graduate or doctoral level and analytical. The courses offered for the Ph.D. programme may be lectures, self-study courses, mini projects, power point presentations and seminars etc., based on teaching/ education/ pedagogy/ writing etc.
5. There will be three compulsory papers and one *optional paper* including online courses as given below:

Paper I: Research Ethics and Methodology

Paper II: Publication Ethics and Computer Applications


 05/04/25 5-4-25 5/4/228 5/4/25 05/04/2025

Paper III: Intensive Research done on the subject concerned

Paper IV: Applied Research (Book Review, Writing an Article, Paper Presentation etc.,) **or a course from SWAYAM of equivalent Credit.**

NB.: If the scholar opts for a course from SWAYAM for Paper IV, he/she will have to inform the Examination Department and his/her Supervisor about the Course undertaken at the time of Registration, so that examination on that course can be conducted in time.

6. The Choice Based Credit System (CBCS) would necessarily incorporate two units of courses related to proficiency in English and Hindi languages.
7. Each Paper shall have three credits that will reflect relevant skills/research techniques/ domain-specific subjects offered by CIBS. Credits earned for completed Course Work are transferable from CIBS to another institution through the Academic Bank of Credits. All fresh Ph.D. entrants, irrespective of discipline, will be required to take credit-based courses in teaching/ education/ writing related to their chosen Ph.D. subject during their doctoral training period. Ph.D. scholars may also have 3-4 hours per week of actual teaching experience gathered through teaching internship or other forms of knowledge dissemination that are not repetitive.
All dissemination activities including external presentations and posters, popular articles conveying scientific information (or scientific articles) to the general public, production of books, commissioned research and internal presentations must be approved by the RAC of the Department concerned. Teaching for the Department, supervision of fellow students/technical staff, dissemination tasks can also be credited as knowledge dissemination and work commitment.
8. The Syllabus prescribed for Ph.D. Course Work shall conform to the credit-hour instructional requirement and specify the content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.
9. A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent CGPA of the UGC 10-point grade scale, wherever grading system is followed in the Course Work in order to be eligible to continue in the programme and submit the thesis.
10. Grades in the Course Work shall be finalized after a combined assessment by the members of the RAC of the Department concerned and the final grades shall be communicated to the Controller of Examinations of CIBS.
11. After completion of the Course Work, the candidate shall take a final examination, the modality of conduct, which shall be in accordance with the examination rules of the CIBS.
12. A scholar has to attend the Course Work punctually and regularly to avoid the shortage of attendance. A minimum of 75% of attendance of the delivered number of lectures in each paper is required to take the final examination at the end of the Course Work. However, the shortage in the attendance of lectures by the scholar due to unavoidable reasons may be condoned as per rules made by the Research Advisory Committee of the Department concerned in CIBS from time to time.
13. As passing of Ph.D. Course Work is only an essential requirement for the registration to Ph.D. Programme at CIBS, no degree will be awarded to the

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successful candidates in Ph.D. Course Work. They shall be issued only a certificate of qualification with details of marks obtained.

XV. Modification of Research Topic:

1. A candidate may, within one year of his/her registration, modify the scheme of his/her research proposal with the approval of the Vice Chancellor on the recommendation of the RAC of the Department concerned.
2. The Vice Chancellor may allow modification to be made in the title of the research proposal even after one year, if in the considered opinion of the RAC of the Department concerned, the modification proposed did not involve any drastic change in the original scheme and scope of the subject.

XVI. Submission of the Thesis:

1. Ph.D. programme shall be for a minimum duration of three years including Course Work. After three years a Research Scholar is allowed to submit his/her Thesis, provided he/she has completed the norm of pre-submission presentation.
2. If a candidate wishes to submit the thesis before three years and not earlier than two years, the case will be examined by a committee consisting of the following:

a. Dean of Faculty concerned, CIBS	Chairperson
b. Controller of Examination, CIBS	Member
c. Head of the Department	Member
d. One/Two External Subject-Experts appointed by the Vice Chancellor	Members
e. Research Supervisor	Member-Secretary
3. One of the External Subject-Experts must be present in the meeting. The Research Scholar shall have to pay Rs. 3000/- as Early Thesis Submission Fee in addition to the prescribed Ph.D, Evaluation Fee. He/ She will also have to submit two draft copies of the Thesis duly signed by him / her and the Supervisor and Co-Supervisor for consideration by the members of the Committee. CIBS will decide the matter within two months from the date of the submission of the draft of the Thesis.

XVII. An Overview of Academic Calendar of the Doctor of Philosophy Programme:

The table below presents the overview of Doctoral Academic Calendar. However, the dates shall be adjusted according to the circumstances that CIBS pass through.

Date	Activity
1 March to 15 March	Notification about available vacant seats
16 March to 10 April	Submission of Application Forms by desirous candidates

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11 April to 15 April	Scrutiny of eligible candidates
16 April to 30 April	Intimation to candidates for attending RET
1 May to 10 May	Conduct of RET
11 May to 20 May	Declaration of Result of RET on CIBS website
1 June to 10 June	Conduct of Interview
11 to 15 June	Announcement of the Merit List on CIBS website
16 June to 10 July	Registration of Research Scholars
11 July to 31 July	Registration of Research Scholars for unfilled seats
1 August to 15 December	Course Work & Examination
31 December	Declaration of Result of Course Work on CIBS website
For the next two years starting from 1 January	Conduct of research as expected with a presentation by the Research Scholar before RAC in every six months and the submission of progress report by RAC
Last six months before submission	Initiation and conduct of Pre-Submission Presentation by the Ph.D. candidate with the approval of the Supervisor concerned.
After completion of three year duration	Submission of Thesis by the Research Scholar
Within three months after submission	Evaluation of Ph.D. Thesis
Within two months after evaluation	Conduct of <i>Viva Voce</i> Examination
Within one month after <i>Viva Voce</i> Examination	Issue of Provisional Certificate to the candidate

XVIII. Extension in the period required for the submission of the thesis:

1. At the end of the stipulated maximum period of six years, if a Research Scholar fails to submit the thesis, he/she will submit an application on plain paper along with a Comprehensive Progress Report of the work done so far by him at least three months before the expiry of six years, to the Head of the Department through the Supervisor. He will have to appear before the RAC of

05/04/25 5-4-25 5/4/25 05/04/25

the Department concerned, which will review the Comprehensive Progress Report and recommend/reject the extension for a period of another two years. Such cases shall be forwarded to the Vice Chancellor for approval.

2. If approved by the Vice Chancellor, the Research Scholar can seek extension for two more years by paying a fee of Rs. 3,000-. No extension or Re-registration is allowed after this.
3. Under extraordinary circumstances, the Research Scholar may be granted a further extension of two years as per *Clause IV.3* with a fee of Rs. 10,000/. The process for granting extension will be same as mentioned above. No further extension shall be given under any circumstances.

NB.: *During the Extension Period, no candidate who has already been receiving Institutional Fellowship shall be eligible for any kind of Institutional Fellowship/Financial Assistance from CIBS.*

XIX. Evaluation and Assessment Methods, Minimum Standards/ Credits for award of the degree, etc.

1. Upon satisfactory completion of Course Work and obtaining the marks/grade prescribed in *Clause XIV.9* of these Regulations above, the Ph.D. scholar shall be required to undertake research work and produce a draft thesis within a reasonable time, as stipulated by CIBS based on these Regulations.
2. Prior to the submission of the thesis, the scholar shall make a presentation before the Research Advisory Committee of the Department concerned of CIBS, which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft thesis in consultation with the Research Advisory Committee of the Department concerned.
3. Ph.D. scholars must publish at least one (1) research paper in a refereed journal and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.
4. The Board for Research Studies of CIBS shall evolve a mechanism using well-developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the thesis shall have an undertaking from the Research Scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree to CIBS or any other HEI.

Note: *a. A report on originality is not to be considered as sufficient proof that the submitted thesis does not contain plagiarized text. Avoiding plagiarism and other forms of academic misconduct in the authorship of the thesis remains the sole responsibility of the Research Scholar.*

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b. If the Research Supervisor (or Co-Supervisor) suspects plagiarism, he or she may ask for an investigation.

c. If plagiarism is detected after investigation, the Research Scholar will be barred outright to carry on his/her research any more at CIBS.

d. However, a maximum of 10% plagiarism shall be allowed for the entire Thesis due to unavoidable reasons like repetition of the title of books, author's name or essential contexts.

5. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two External Examiners (Professor/Associate Professor) who are not in employment of CIBS, and who are experts in the field. Out of the two External Examiners, one must be from outside UT of Ladakh.
6. If the research results of the thesis constitute new possible things for the protection of intellectual property right (IPRs), the Ph.D. Scholar and the Research Supervisor shall inform the Research Advisory Committee of the Department concerned of CIBS about the matter. In this case, the Ph.D. candidate, with the consent of the Supervisor, may request that the submitted thesis be treated discreetly before the same is submitted for assessment, until the defence/ *Viva Voce*. The IPR Cell or the equivalent competent body of CIBS designated for the purpose shall conduct the procedure for legal and commercial protection of research results, in accordance with the relevant Regulations. In this case, the public defence can be extended, in agreement with the Ph.D. Scholars, at the latest for a year, starting on the day of the procedure of evaluation of the thesis. Request for extension of defence/*Viva Voce* must accompany the Certificate of the Technology Transfer from the competent authority.
7. The *Viva Voce* of the Ph.D. Scholar to defend the thesis shall be conducted if both the External Examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the External Examiners recommends rejection, CIBS shall send the thesis to an alternate External Examiner from the approved panel of examiners, and the *Viva Voce* Examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of Ph.D. degree.
8. CIBS shall develop appropriate mechanism to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of its submission.

XX. Examiner's Report:

The examiners shall submit the report on a prescribed form provided by the Examination Department of CIBS and shall make one of the following recommendations:

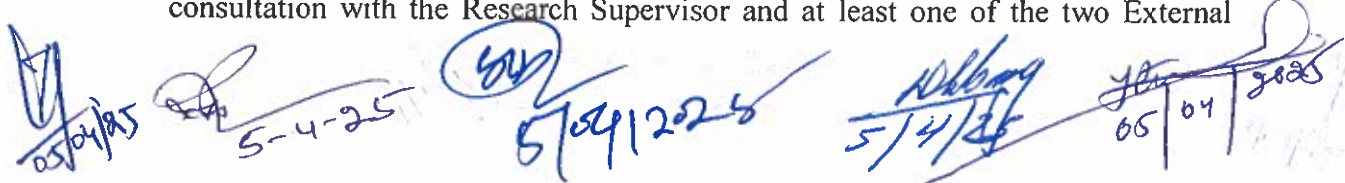
1. The Thesis may be accepted for the award of the Ph.D. degree.

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2. The thesis may be accepted for the award of the Ph.D. degree subject to the fact that at the time of *Viva Voce*, the Scholar gives satisfactory answers to the specific queries raised in the report.
3. The thesis, in the present form, cannot be accepted for the award of the Ph.D. degree and the candidate may be advised to revise the thesis on certain issues raised in the report and re-submit the thesis. In the case of re-submission, the examiner shall specifically mention whether the thesis must be referred back to him/her for re-evaluation or not.
4. The thesis may be rejected.
5. The copy of the thesis shall be returned by the examiners soon after the submission of the report.
6. If both the external examiners recommend acceptance of the thesis for the award of the Ph.D. degree, the thesis shall be accepted.
7. If both the examiners recommend rejection of the thesis for the award of the Ph. D. degree, the thesis shall be rejected.
8. If the examiner(s) raise(s) some queries/seek clarifications, the candidate shall be required to give satisfactory answers to the queries at the time of *Viva Voce*.
9. If one or both the examiners recommend revision of the thesis, the candidate shall re-submit the thesis after revision. If a specific examiner asks for the revised thesis to be referred back to him/her, it shall be sent to him/her. Otherwise the revised thesis shall be assessed by the RAC of the Department concerned for satisfactory compliance of the desired revision.
10. If the revised thesis is to be referred back to an examiner, the examiner shall submit his/her report on a prescribed form provided by the Examination Department of CIBS. The examiner shall recommend the revised thesis to be either accepted or rejected. Then depending on the recommendations of the other examiner, an appropriate action shall be taken.
11. If one examiner recommends rejection of the thesis and the other recommends acceptance, then a third examiner shall be appointed from the panel of already approved examiners.
12. In the case of any ambiguous recommendations by any examiner the Controller of Examinations shall approach the examiner concerned confidentially for a clear recommendation. If a clear recommendation is not forthcoming, the matter shall be referred to the Vice Chancellor for his/her decision.
13. After the reports from both the examiners are received, the Controller of Examinations shall inform the Supervisor for appropriate action.

XXI. *Viva-Voce* Examination:

1. The *Viva Voce* Examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Examination Department in consultation with the Research Supervisor and at least one of the two External

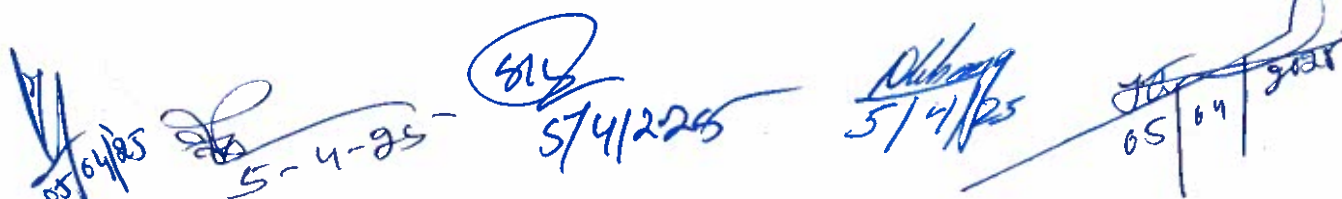

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Examiners, and shall be open to be attended by the members of Board of Research Studies, the members of the Research Advisory Committee of the Department concerned, all faculty members of the Department concerned, other research scholars and other interested experts/ researchers.

2. If the Thesis has been accepted for the award of the degree, the scholar shall be required to defend his/her Thesis in a *Viva Voce* Examination, before a duly constituted *Viva Voce* Board (hereafter referred as VVB). The date, time and venue of the *Viva Voce* Examination with other necessary details shall be adequately notified by the Examination Department in consultation with the Research Supervisor, so as to enable other faculty members and students to attend it.
3. The Supervisor and the Co-Supervisor (if any) in consultation with the Head of the Department shall arrange for the *Viva Voce* Examination of the Scholar as early as possible and normally within two months from the date of communications to them from the Controller of Examinations for conducting the *Viva Voce* Examination.
4. The VVB shall be provided with the reports of all the examiners before the *Viva Voce*. The reports shall be read in front of the Research Scholar before the *Viva Voce* Examination starts.
5. The VVB shall submit a comprehensive report on the performance of the Research Scholar at the *Viva Voce* Examination, including the discussions over various points raised. The VVB shall recommend one of the following:
 - a. That the degree for Doctor of Philosophy may be awarded,
 - b. That the Scholar may be re-examined in a second *Viva Voce*,
 - c. That the degree may not be awarded and the thesis be rejected.

XXII . Award of the Degree and Issuing a Provisional Certificate:

1. The reports of all the examiners and of the VVB shall be placed before the BRS for consideration. In all other cases the BRS concerned shall take its specific decision otherwise.
2. Prior to the actual award of the Ph.D. degree, ***the Controller of Examinations of CIBS shall issue a Provisional Certificate*** to the effect that the Ph.D. degree is awarded in accordance with the provisions of these Regulations.
3. The Research Scholar concerned may also apply separately for the Examiners' Reports after the approval of the Thesis, which he/she shall not be denied of. After going through the reports, the BRS shall approve the award of the Ph.D. degree, provided the candidate produces **the certificate of "No Dues Outstanding against him/her"** in a prescribed form, and unanimously recommend to the Controller of Examinations of CIBS for notification of the same in favour of the Research Scholar
4. The Year of Award of the Ph.D. degree shall be the same as the 3-year of submission of the thesis, if the thesis is accepted without revision. If the thesis is recommended for revision, the Year of Award of the Ph.D. degree shall be the


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year of submission of revised thesis. In case of extension of registration, the Year of Award of the Ph.D. degree shall be the year of submission.

5. The Certificate of Ph.D. degree shall mention the *Title of the Thesis, the Discipline of Research and the Faculty/Department* in which the candidate was admitted for the Ph.D. programme.

6. After the approval of the Thesis for the award of the degree, the abstract shall be published in the "Abstracts of Accepted Theses for the Ph.D. Degree" of the Central Institute of Buddhist Studies, Leh, UT of Ladakh.

7. The Thesis approved for the award of the Ph.D. degree may be published by CIBS with the permission of the Vice Chancellor on the recommendation of the RAC of the Department concerned. It shall be stated on the title page of the Published Thesis that it was a Thesis approved for the award of the Ph.D. degree by the Central Institute of Buddhist Studies, Leh, UT of Ladakh. In case the Awardee of Ph.D. degree wants to publish his/her Thesis with some other publisher other than CIBS, he/she will have to obtain the written permission from CIBS and mention on the title page of the Published Thesis that it was a Thesis approved for the award of the Ph.D. degree by CIBS.

XXIII. Cancellation of Admission:

The admission of a Ph.D. candidate shall be cancelled by the RAC of the Department concerned in any one of the following eventualities:

1. If the candidate fails to register his /her name within the stipulated time after the notification of the Merit List.
2. If the candidate fails to renew his/her registration after the expiry of his/her maximum period of Registration subject to the provisions contained in these Regulations.
3. If the candidate fails to submit the thesis within the maximum stipulated time as provided in these Regulations.
4. If the candidate resigns from the Ph.D. programme and the resignation is duly recommended by the Supervisor concerned.
5. If two consecutive progress reports of the candidate are found unsatisfactory.
6. If the candidate's research proposal is not approved even after the submission of the revised proposal.
7. If the candidate is absent for a continuous period of four weeks without prior information/ sanction of leave.
8. If the candidate is found involved in an act of misconduct and/or indiscipline and his/her termination has been recommended by the competent authority of CIBS.

XXIV. Temporary Withdrawal from the Programme:

On the recommendation of the RAC of the Department concerned, a Research Scholar admitted to the Ph.D. programme may be permitted by the Dean of the Faculty concerned to temporarily withdraw himself/ herself from the programme on some specific

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unavoidable reasons, and later be allowed to join back to complete the research and submit the Thesis, without exceeding the maximum prescribed time limit for the Thesis submission. This withdrawal may be permitted on any one of the following reasons:

1. If the Research Scholar is suffering due to prolonged illness, supported by medical certificates.
2. On the event of prolonged illness (supported by medical certificates) of the family members of the Research Scholar as defined by the Constitution of India.
3. If the External Part-Time Research Scholar needs to undergo a professional training (which continues for a period more than two months) as a mandatory requirement for his job, provided he/she has completed his/her Course Work for Ph.D. degree.
4. If a sponsored Research Scholar on regular mode joins back his/her parent organization, after fulfilling the minimum period of requirement for the submission of the Ph.D. Thesis.
5. The residential requirement of the Research Scholar, who is allowed temporary withdrawal, shall be automatically exceeded by a period equivalent to the duration of the withdrawal, without exceeding the maximum period laid down.

NB.: During the period of withdrawal, the Research Scholar will not have to pay any fee.

XXV. Fee Structure for Ph.D.:

Fees payable by a candidate for the Ph.D. programme shall be as prescribed by CIBS from time to time. The present fee structure for all categories of the candidates is given below:

SI. No.	Descriptions	Fee Structures (Rs.)
(i)	Ph.D. Entrance Test Fee	1000/-
(ii)	Ph. D. Registration Fee	1500/-
(iii)	Late Fee	200/-
(iv)	Re-Registration Fee after Six Years	3000/-
(v)	Re-Registration Fee after Eight years as per Clause IV.3	10000/-
(vi)	Ph. D. Evaluation/Examination Fee	4000/-
(vii)	Early Thesis Submission Fee in addition to (vi) above	3000/- + 4000/- = 7000/-
(viii)	Library Caution Money (Ph.D.)	1000/-
(ix)	Foreign Student Registration Fee	\$200/-

XXVI. Financial Assistance:

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1. Subject to the availability of fellowships, the Ph.D. candidates, who are admitted as Research Scholars on Regular Mode, may be considered for the award of the Institutional Fellowship from CIBS on request. Such amount may be decided by CIBS from time to time. This award shall be strictly on the basis of merit subject to the fulfillment of all the conditions governing such awards.
2. In addition to the Institutional Fellowship, such candidates shall also be entitled for contingency grant in accordance with the decisions of CIBS.
3. The maximum duration for which the Institutional Fellowship can be awarded to any Ph.D. candidate is three years. If a Research Scholar could not submit his/her Thesis within three years, his/her Fellowship shall be stopped and he/she will have to continue his/her Ph.D. programme in self-financing mode after that. The award shall be on year-to-year basis subject to the satisfactory academic and research performance and the satisfactory performance in discharging other responsibilities assigned to him/her under the scheme.
4. Fifteen percent (15%) of the total number of available fellowships shall be reserved for Scheduled Cast (SC) candidates and 7.5% for Scheduled Tribe (ST) candidates. A total of 3% fellowship shall be reserved for Physically Handicapped (PH) candidates. If PH candidate is not available, the fellowship may be transferred to the candidates of General Category.
5. Admission to the Ph.D. programme and the award of the fellowship are not linked. Admission to the Ph.D. programme does not guarantee the award of fellowship. Those who are not awarded fellowship can continue with the programme as self-financing candidates.

XXVII. Residency Period:

1. A Ph.D. Scholar shall be required to be present in CIBS for a prescribed period, which is known as the Residency Period.
2. For the Research Scholars of all categories except for the External Part-Time Research Scholars, the residency period shall be three years from the date of registration.
3. For an External Part-Time Research Scholar, the residency period shall be of at least six months duration, the minimum period required for completing the Course Work as a part of the Ph.D. programme.

XXVIII. Attendance and Leave:

1. Attendance Requirement:

- a. All the Research Scholars on Regular Mode with fellowships are required to sign on all working days in an attendance register to be kept in the Department concerned, except when he/she is on duty/sanctioned leave.

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b. A Research Scholar, who is pursuing Course Work as a part of his/her Ph.D. Programme, is expected to have no less than 75% attendance in each course. However, a maximum of 10% attendance may be condoned by the RAC of the Department concerned for cogent reasons.

2. Leave Rules:

- a. The leave shall be granted by the Head of the Department on the recommendation of the Supervisor/Co-Supervisor (if any).
- b. A Research Scholar shall be eligible to avail a leave of 30 days in an Academic Year in addition to the winter and the summer vacations available in CIBS. However, he/she shall not be entitled for any inter-semester breaks.
- c. Besides, a Research Scholar shall be entitled for an additional leave of up to 10 days on medical ground in an Academic Year.
- d. Women candidates may be provided Maternity Leave/Child Care Leave for up to 240 days once in the entire duration of the Ph.D. Programme.
- e. Further, male candidates shall be eligible for Paternity Leave of 15 days once during their entire tenure as Research Scholars.
- f. On the event of the death of a Research Scholar's family member as defined by the Constitution of India, he/she may be granted 15 days' Leave to attend and complete the funeral process.
- g. Leave of Absence shall be granted to a Research Scholar for the entire period from the second year onwards on the following basis:
 - During Students' Exchange Programme proposed by the Supervisor/ Co-Supervisor and approved by the Research Advisory Committee of the Department concerned for working in the Institution in India/abroad;
 - Research expeditions (less than one month) authorized by the Supervisor/ Co-Supervisor and approved by the Research Advisory Committee of the Department concerned;
 - Research missions (more than one month) proposed by the Supervisor/ Co-Supervisor and approved by the Research Advisory Committee of the Department concerned for working in National/ International Research Laboratories or Centers of Excellence.

3. The attendance requirements and leave rules shall be applicable to the External Part-Time Research Scholars also during their Residency Period at CIBS.

XXIX. Research Boards and Committees:

Subject to the general superintendence of the Academic Council of CIBS, the following Boards and Committees shall deal with the matters concerning the Ph.D. Programme of CIBS in accordance with these Regulations:

A. Board of Research Studies of CIBS (BRS):

1. The BRS shall consist of the following:

Vice Chancellor

Chairperson

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All the Faculty Deans	Members
All Heads of Departments	Members
Members of the RAC of the Department concerned	Members
Supervisor/Co-Supervisor (if any) of the Ph.D. Scholar concerned	Member(s)
Registrar	Member-Secretary

NB.: 1. Administrative Officer (Additional Administrative Officer in absence of AO) shall act as Member-Secretary, provided they have Ph.D. degrees.

2. As the Member-Secretary, the Registrar shall convene all the meetings of BRS; presence of five members will form the quorum.

2. Powers and Functions of BRS:

a. The Board of Research Studies of CIBS shall approve the list of eligible candidates prepared by the Examination Department of CIBS based on both Written Examination and Interview for admission into Ph.D, programme in various subjects/ areas.

b. After receiving the report from the Head of the Department concerned, the Board for Research Studies of CIBS, shall recommend to the Vice Chancellor for change of Supervisor/ Co-Supervisor for the Research Scholar who has applied for it.

c. The Board of Research Studies of CIBS shall evolve proper mechanism using well-developed software and gadgets to detect plagiarism and other forms of academic dishonesty.

d. After going through the reports of the all the examiners and of the *Viva Voce* Board, the Board of Research Studies of CIBS shall approve / reject the award of the Ph.D. degree, and accordingly & unanimously recommend the same to the Controller of Examinations of CIBS for notification.

B. Research Advisory Committee (RAC) and its Functions:

1. There shall be a Research Advisory Committee in each Department as defined in the Statutes/Ordinances of CIBS, for each Ph.D. scholar.

2. The RAC shall consist of the following:

Head of the Department concerned	Chairperson
All Professors of the Department	Members
One Associate Professor of the Department according to seniority by rotation for two years	Member
One Assistant Professor of the Department according to seniority by rotation for two years	Member
Supervisor/Co-Supervisor (if any) of the Ph. D. Scholar concerned:	Convener

3. Where there are three or less than three teachers in a Department, the RAC shall consist of the following:

Head of the Department	Chairperson
All teachers of the Department	Members

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Two senior teachers of a sister Department nominated by the
Vice Chancellor in consultation with the HoD concerned Members
Supervisor/Co-Supervisor (if any) of the Ph. D. Scholar concerned: Convener

4. This Committee shall have the following responsibilities:
 - a. To review the research proposal and finalize the topic of research;
 - b. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do;
 - c. To periodically review and assist in the progress of the research work of the research scholar.
5. In every six months, the Research Scholar shall appear before the RAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The RAC shall submit its recommendations along with a copy of the Research Scholar's progress report to the Examination Department of CIBS. A copy of such recommendations shall also be provided to the Research Scholar.
6. In case the progress of the Research Scholar is unsatisfactory, the RAC shall record the reasons for the same and suggest corrective measures. If the Research Scholar fails to implement these corrective measures, the RAC may recommend to the Board of Studies of CIBS for cancellation of the registration of the Research Scholar, mentioning the specific reasons thereof.
7. **Other Powers and Functions of RAC:**
 - a. The RAC shall have powers to co-opt such members of the teaching staff of the same/sister Department (s) as Supervisors/ Co-Supervisors, which may be helpful to them in their deliberations.
 - b. To recommend to the BRS the name of the Supervisor to guide the Research Scholar in his/her research work.
 - c. To recommend to the BRS for the registration of the students to the Ph.D. Programme in accordance with the criteria laid down for the purpose in these Regulations.
 - d. To design the broad outline of the Course Work such as Research Methodology and the related subject etc. as per UGC guidelines from time to time.
 - e. To make arrangements for Seminars/Lectures/ Workshops for the Research Scholars.
 - f. The RAC shall not make any recommendation, i.e., not in conformity with these Regulations and/or such other directives as may be issued by the BRS or the Academic Council of CIBS with regard to the Ph. D. programme from time to time.

C. Interview Board:



 05/04/25 5-4-25 5/4/25 05/04/25

The Examination Department of CIBS shall constitute an Interview Board for each Department for conducting the interview of the aspiring Ph.D. candidates in that Department. The Board shall conduct the interview and recommend names of the potentially eligible candidates for doing Ph.D. in the Department. The Board shall consist of the following members:

Dean of the Faculty concerned	Chairperson
All Professors of the Department	Members
One Associate Professor of the Department according to seniority by rotation for two years	Member
One Assistant Professor of the Department according to seniority by rotation for two years	Member
Two senior teachers of a sister Department nominated by the Vice Chancellor in consultation with the HoD concerned where there are less than three teachers in a Department	Members
Head of the Department concerned	Convener

D. Viva Voce Board (VVB):

To conduct the Viva Voce Examination of a Research Scholar after his/her Thesis is evaluated, the Examination Department of CIBS shall constitute a Viva Voce Board (VVB) as given below:

Head of the Department	Chairperson
One of the two External Examiners concerned	Member
Co- Supervisor (if any)	Member
All faculty member(s) of the Department concerned	Member(s)
Research Supervisor	Member-Secretary

E. Equivalence Committee (EC):

a. To determine the equivalence of various degrees offered by all Indian/ foreign universities/ HEIs, there shall be an Equivalence Committee of CIBS. It shall consist of the following:

Dean of Students' Welfare	Chairperson
All Faculty Deans	Members
Controller of Examinations	Member
All Heads of Departments	Members
Registrar/AO (AAO in absentia of AO)	Member-Secretary

NB: The equivalence of the degree possessed by the foreign students shall be in accordance with UGC guidelines / the norms of Association of Indian Universities. They shall be admitted to the Ph.D. Programme, only if the degree possessed by them entitles them for enrolment as Ph.D. scholars in the universities of their own countries as well.

b. If a candidate has qualified UGC-NET in an allied subject, he/she can apply for Ph.D. programme in a subject that has no NET and he/she need not take the RET conducted by

05/04/25 5-4-25 5/4/2025 5/4/25 05/04/2025

CIBS. The decision of the Equivalence Committee of CIBS shall be final and binding in this matter.

c. A teacher who is not eligible to guide a Research Scholar due to not satisfying the conditions laid down in these Regulations cannot become a member of any of the Research Board and Committees referred above.

XXX. De-specification of M.Phil. Degree:

The M.Phil. (Master of Philosophy) degree has been de-specified by UGC from the Academic year 2022-23 onwards. However, M.Phil. Degree awarded till the date of notification of UGC Regulations 2022 of any other HEI for admission to PhD programme shall remain valid.

XXXI. Depository with INFLIBNET/Institutional Electronic Archive:

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Central Institute of Buddhist Studies (CIBS), Deemed to be University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET/Institutional Electronic Archive, for hosting the same so as to make it accessible to all institutions and other Research scholars.

XXXII. Code and Conduct of Ethics:

1. It is envisaged that CIBS will have a well-defined policy and establish a well-laid procedure for handling allegations of misconduct in research. For this, there must be a clear definition of misconduct with a sufficient legal foundation that defines the circumstances constituting misconduct and prescribe procedural rules, along with measures to be taken if such allegations are upheld after following due process. The regulations which are enacted by CIBS shall clearly define the responsibility at each step of the procedure, the process for consideration of the available evidence, will define the provision for constitution/selection of investigation committee members, provisions to rule out conflicts of interest, the procedural principles of the rule of law and Ombudspersons for resolving any disagreement. It is important that both the complainant and the respondent are allowed to be heard at every stage of the process. It should be ensured that information relating to the persons involved in the ongoing process and the findings of the investigation are treated in confidence until it is demonstrated that misconduct has occurred. If the occurrence of misconduct is established and the appropriate disciplinary proceeding is considered, the responsible bodies should also be included in deliberations. CIBS should also ensure the completion of the entire process as fast as possible and the necessary steps should be promptly taken to complete every stage of the procedure within a given appropriate time frame.

Explanation: It is to be emphasized that every good research practice does not constitute misconduct and the same needs to be distinguished. Only when there is a deliberate or grossly negligent infringement as defined in a set of regulations

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should be considered scientific misconduct including fabrication or falsification of data, and plagiarism using data from other author's work.

While this set of rules and regulations centers on all academic aspects of admission, programme, progress, and graduation, reference must be made to separate (but linked) institutional codes and regulations that shall affect the life of research students at CIBS, notably:

- Code of Good Academic Research Practices;
- the Disciplinary Regulations; and
- Policy on Harassment Prevention.

The regulation to the Ph.D. programme at CIBS signifies that the candidate has accepted the conditions and guidelines set out in the policies and that she/he commits her/himself to respect all aspects of research throughout the programme on which she/he has registered. To further inform researchers on aspects of research such as academic responsibility and integrity, CIBS shall from time to time provide courses or workshops on research ethics.

2. During the period of research at CIBS, no Ph.D. Scholar on Regular Mode shall accept any paid assignment apart from Research/Project Fellowship, Research/Project Assistantships etc.

3. A Ph.D. scholar shall not be permitted to join any other regular course of CIBS, Leh. However, he/she may be permitted to join Part-Time Diploma or Certificate Course(s) on the recommendation of the DRC concerned, provided it is not detrimental to his/her research programme.

Authorship: For academic accountability, all the authors must make a genuine, identifiable contribution to the content of a research publication in experimental planning, experimentation, collection/analysis of data, use of software, and/or writing of the text. It is also important that all authors have agreed on the final version of the work to be published. Unless it has been explicitly stated otherwise, they all share responsibility for the published work.

XXXIII. Conclusion:

1. Notwithstanding anything contained in these Regulations, all categories of the candidates shall be governed by the rules and procedures framed by the Academic Council, and are in force at that point of time.

2. From the date when these Regulations come into operation, all previous Regulations on the subject shall cease to have effect.

3. Provided that this revocation shall not affect the previous Regulations so revoked or anything done or suffered under any previous Regulations so revoked or affect any right,

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privilege, obligation or liability acquired, arrived or incurred under any Regulations so revoked.

4. Any doubt or dispute about the interpretation of these Regulations shall be referred to the Vice Chancellor, whose decision, in his capacity as the Chairperson of Academic Council shall be final.

5. The Vice Chancellor has been authorized to,

a. Modify, amend and/or delete any of the clauses given in the Regulation or add any Clauses(s) to these Regulations, which shall be reported to the Academic Council at its next meeting for approval.

b. Order a special procedure for the evaluation of a Ph.D. thesis to protect the work of classified nature involving national security and sovereignty and/or to protect the intellectual property rights of the candidate, the supervisor and the CIBS.

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